

COPY OF PAPERS
ORIGINALLY FILED
09/18/02

PATENT

Case Docket No. ASMJP.100AUS
Date: December 21, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Kiyoshi Satoh, et al.

App. No. : 09/982,454

Filed : October 17, 2001

For : SEMICONDUCTOR
SUBSTRATE-
SUPPORTING
APPARATUS

Group Art Unit : 1763

I hereby certify that this correspondence and all
marked attachments are being deposited with the
United States Postal Service as first class mail in
an envelope addressed to: Assistant Commissioner
for Patents, Washington, D.C. 20231, on

December 21, 2001

(Date)

Kazuhiko Arai, Reg. No. 43,315

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on November 21, 2001, enclosed are:

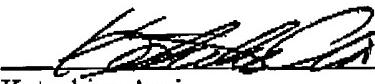
- An executed Declaration by Inventors.
- A Power of Attorney Form and Copy of Assignment.
- A Certified copy of a Japanese Patent Application No. 2000-318994, filed October 19, 2000.
- A Notice to File Missing Parts.
- Return prepaid postcard.
- Fees as calculated below:

PATENT

Case Docket No. ASMJP.100AUS
Date: December 21, 2001

SURCHARGE 37 CFR 1.16(e)	\$ + 130
TOTAL FEES SUBMITTED HEREWITH	\$ 130

- (X) A check in the amount of \$130.00 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.



Katsuhiro Arai
Registration No. 43,315
Agent of Record

H:\DOCS\KOA\KOA-1136.DOC
122101

041D

S
6

Louis J. Knobbe*
 Don W. Martens*
 Gordon H. Olson*
 James B. Bear
 Darrell L. Olson*
 William B. Bunker
 William H. Nieman
 Arthur S. Rose
 James F. Losinski
 Ned A. Israelson
 Drew S. Hamilton
 Jerry T. Sewell
 John B. Granga, Jr.
 Edward A. Schlatkow
 Gerard von Hoffmann
 Joseph R. Re
 Catherine J. Holland
 John M. Carson
 Karen Vogel Well
 Andrew H. Simpeach
 Jeffrey L. Van Hoosier
 Daniel E. Altman
 Marguerita L. Gunn
 Stephen C. Jensen
 Vito A. Canuso III
 William H. Shreve
 Lynda J. Zadra-Symes†
 Steven J. Natupasky
 Paul A. Stewart
 Joseph F. Jennings
 Craig S. Summers
 AhneMarie Kaiser
 Brendan R. Babcock
 Thomas F. Smigaj, Jr.
 Michael H. Trenholm
 Diana M. Read
 Ronald J. Schoenbaum
 John R. King
 Frederic S. Beretta
 Nancy W. Vencko
 John P. Gleantanner
 Adeel S. Akbar
 Ginger R. Dreyer
 Thomas R. Ahmed below:
 David N. Weiss
 Douglas C. Gruenberg
 Brianna K. Ferrier
 Payson J. Lammhair
 Daniel H. Hargan
 Confidential information contained in this facsimile transmission is intended only for the use of the recipient. If you have received this facsimile in error, please immediately notify us by telephone to (703) 746-4060 or fax to (703) 746-4060 for return of the original documents to us. Any disclosure, copying, distribution or the taking of any action in reliance on the contents of faxed information received in error is strictly prohibited.

CERTIFICATE OF FAX TRANSMISSION
 I hereby certify that this correspondence and all marked attachments are being facsimile transmitted to the Patent and Trademark Office on the date shown below:

July 23, 2002
Daniel Altman
 Daniel E. Altman, Reg. No. 34,115

IMPORTANT NOTICE

JUL 23 2002

FIRM:

APPL. NO.: 09/982,454
 FILING DATE: October 17, 2001
 FAX NO.: (703) 746-4060
 FROM: Daniel E. Altman
 CLIENT CODE: ASMJP.100AUS

TOTAL NUMBER OF PAGES: 30 (INCLUDING COVER SHEET)

DATE: July 23, 2002 TIME: 10:21 P.D.T.

IF YOU DID NOT RECEIVE ALL OF THE PAGES, PLEASE CALL BACK IMMEDIATELY.

MESSAGE: The attached documents are submitted in response to Request for Substitute Papers mailed on July 11, 2002.

E:\DOCS\DBA\FAX\PTO\DOC
022101**Knobbe Martens Olson & Bear LLP****Intellectual Property Law**

1900 Avenue of the Stars
 Suite 1425
 Los Angeles CA 90067
 Tel 310-551-3450
 Fax 310-551-3458
 knob.com

Linda H. Liu
 Jeffrey S. Ellsworth
 Andrew N. Marickel, Ph.D.
 Douglas T. Hudson
 David L. Hauser
 Kaare D. Larson
 James F. Herkenhoff
 Scott L. Murray
 Roger S. Shang
 Andrew M. Douglass
 Marc T. Morley
 Sajima A. Morani, Ph.D.
 Sam K. Tahmassebi, Ph.D.
 Christy L. Green
 Jalal Badr
 Jonathan A. Hyman
 Curdiss C. Dooley
 Richard A. DeCristofaro
 Donna C. Pordue, Ph.D.
 Joseph J. Mallon, Ph.D.
 Joanne L. Djukic
 Thomas P. Krzeminski
 Jeffrey A. Birchak
 Sean M. Murray
 Jason P. Pfeiffer
 Eleonore Niu
 Valarie L. Bracken
 Johnlar F. Kerlee
 Jeremy P. Sandera
 Perry D. Oldham
 Jerry L. Hefer
 Russell M. Jajda
 Abraham W. Chuang
 Ryan N. Farf
 Pui Tong Ho
 Erik T. Anderson
 John L. Falk
 Eric K. Morton
 Jesse A. Rothwell
 Marc G. Baumgartner
 Ray B. Horn
 Danielle Klausner
 Kyle F. Schlueter
 Raphael A. Guilleirez
 Demian K. Jackson
 Nathan A. Engels

Of Counsel
 Jerry R. Seller
 Paul C. Steinhardt
 Japanese Patent Atty
 Kazuhiko Arai
 Tomohisa Sugiyama
 European Patent Atty
 Martin Heilbrandt
 Korean Patent Atty
 Mincheot Kim
 Heungsoo Choi
 Solicitor (England & Wales)
 J. David Everett
 Scientists & Engineers
 (Non-Lawyers)

Raimond J. Salamiek**
 Khurram Rahman, Ph.D.
 Jennifer A. Haynes, Ph.D.**
 Tommy Y. Nagata
 Chia S. Charestkin, Ph.D.**
 James W. Ausley**
 Jennifer Hayes
 Kirk E. Pastorius, Ph.D.**
 Charles T. Ridgely
 Bonny Young, Ph.D.
 Connie C. Tong, Ph.D.
 Suzanne G. Japson, Ph.D.
 David K. Wiggins
 Megan M. McCay
 Nira M. Brend
 Catherine M. Sanders
 Jeffrey A. Hopkins
 Tiffany C. Miller
 James W. Chang, Ph.D.
 Marsha L. Gorday, Ph.D.**
 Ed Desjardins, Ph.D.**
 W. Frank Deuerer
 Lang J. McHardy
 Karen J. Lenker
 Chris Westberg, Ph.D.
 Eric B. Ives, Ph.D.
 David C. Weber**

* A Professional Corporation
 † Also Barrister At Law, Esq. & Wales
 ** U.S. Patent Agent

FACSIMILE TRANSMITTAL SHEET**OIPE****UNITED STATES PATENT AND TRADEMARK OFFICE**

550 West C Street

Suite 1200

San Diego CA 92101

Tel 619-535-4550

Fax 619-235-0176

201 California Street

Suite 1150

San Francisco CA 94111

Tel 415-954-4114

Fax 415-954-4111

3403 Tenth Street

Suite 700

Riverside CA 92507

Tel 909-761-0237

Fax 909-761-4507



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231
www.uspto.gov

OIPE
JUL 23 2002
PATENT & TRADEMARK OFFICE

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/982,454	10/17/2001	Satoh, Kiyoshi	ASMJP.100AUS

20995

KNOBBE MARTENS OLSON & BEAR LLP
 620 NEWPORT CENTER DRIVE
 SIXTEENTH FLOOR
 NEWPORT BEACH CA 92660

Title: Semiconductor substrate-supporting apparatus

Date Mailed: 07/11/2002

Request for Substitute Papers

The papers filed on 01/18/02 (certificate of mailing dated 12/21/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Daniel E. Altman Registration No.: 34,115

Signature: Daniel Altman Date: 23 July 2002



UTILITY/DESIGN PATENT

DATE: 12/21/01

(Missing Parts)

DATE OF O.A.: 11/21/01

Rec'd in the U.S.P.T.O. on the date stamped hereon via Certificate of Mail:

Atty. Dkt. # ASMJP.100AUS A/N: 09/982,454 Filed: 10/17/01

Applicant: Kiyoshi Satoh, et al. Atty: K. Arai

Title: SEMICONDUCTOR SUBSTRATE-SUPPORTING APPARATUS

VERIFIED BY: Asst: H. Isetani Quality Control: ✓

 Transmittal Letter Preliminary Amendment in ____ pgs. Copy of Notice of Missing Requirements Copy of Original Specification and Decl. and Power of Attorney Drawings Decl. by Inventor(s) Power of Atty. by Assignee; Copy of Assignment Ext. of Time Requested Small Entity Statement(s) Letter Requesting Refund in dupl. \$ 130.00 Check for Filing Fee Information Disclosure Statement; PTO 1449 w/ ____ Ref(s). A certified copy of a Japanese Patent Application Return Postcard No. 2000-318994, filed 10/19/00. ^{7/26}

COPY OF PAPERS
ORIGINALLY FILED
01/18/02

KNOBBE MARTENS OLSON & BEAR
PATENT ACCOUNT
620 NEWPORT CENTER DR., 18TH FLOOR
NEWPORT BEACH, CA 92660

48822

DATE Dec. 31, 2002 11-24 1147
1210

PAY
TO THE
ORDER OF

COMMISSIONER OF PATENTS

\$ 130.00

DOLLARS

Wells Fargo Bank, N.A.
www.wellsfargo.com

09/982,454

FOR A.SMJP.100AUS/Missing parts

#0488221# 12100024814159335140#

JUL. 23. 2002 10:50AM KMOB

NO. 594 P. 30/30

COPY OF PAPERS
ORIGINALLY FILED
01/18/02

Page 1 of 1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/982,454	10/17/2001	Kiyoshi Satoh	ASMJP.100AUS



KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

CONFIRMATION NO. 7409
FORMALITIES LETTER



OC00000007108146

Date Mailed: 11/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**INCOMING
U.S. PATENT DOCKETING CHECKLIST
RETURN POSTCARD FOR MISC PATENT FILING**

CLIENT CODE: A3m JP.100AUS
 CHECKED BY: S. Munoz DATE: 1/31/02

Staple the postcard in the blank space provided below.

UTILITY/DESIGN PATENT

(Missing Parts)

Rec'd in the U.S.P.T.O. on the date stamped hereon via Certificate of Mail:
 Atty. Dkt. # A3m JP.100AUS A/N: 09/982,454 Filed: 10/17/01

Applicant: Kiyoshi Satoh, et al. Atty: K. Arai

Title: SEMICONDUCTOR SUBSTRATE-SUPPORTING APPARATUS

VERIFIED BY: Asst: H. Isetani Quality Control: H
 Transmittal Letter iKEMPOX Preliminary Amendment in _____ pgs.
 Copy of Notice of Missing Requirements Copy of Original Specification and
 Decl. and Power of Attorney Drawings
 Decl. by Inventor(s)
 Power of Atty. by Assignee; Copy of Assignment
 Ext. of Time Requested
 Small Entity Statement(s)
 Letter Requesting Refund in dupl.
 \$130.00 Check for Filing Fee
 Information Disclosure Statement; PTO 1449 w/ _____ Ref(s).
 A certified copy of a Japanese Patent Application
 Return Postcard No. 2000-318994, filed 10/19/00. ^{7/96}



USE THE FO

Y = YES

N = NO

✓ = NON-APPLICABLE

In the Patent Management System:

✓ Click on the "Country Applications Data" tab. At "App.No:" type the application number, then click on the "Start Search" tab. Verify the number of records.

✓ Click on the "List of Actions" tab and look for an action such as "Postcard Recvd?/*".

✓ Click on the ellipse button to the right of the action. Verify you have retrieved the correct "Action Type" by comparing the "Action Base Date" against the "Date" on the postcard as well comparing the marked boxes on the postcard against the remarks in the Action.

✓ In the "Taken" field of the "Postcard Recvd?/*" Action, type the date the postcard was received at KMOB.

✓ Click on the "Save" tab. Click on the "Exit" tab.

Print the Face Sheet:

✓ Double check your work.

✓ Place the documents on your shelf for audit trail check.

RECEIVED
DEC 11 2002
RC 1700 MAIL ROOM